

EoI No. ICMR/EoI/04-MDMS/POC-GT/2023

Invitation for Expression of Interest (EoI)

For

Transfer of Technology of a Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder (Diagnostic assay/Kit)

By ICMR-Hqrs

Indian Council of Medical Research

(Department of Health Research, GoI)V. Ramalingaswami Bhawan,P.O. Box No. 4911, Ansari Nagar,New Delhi - 110029, India

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Letter of Invitation

1. Invitation for Expression of Interest

Indian Council of Medical Research ((ICMR), New Delhi invites Expression of Interest (EoI) from the eligible organizations, companies, manufacturers for undertaking 'Transfer of Technology' for commercialization of "A point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", useful in medical diagnostics for diagnosis of Glanzmann Thrombasthenia

The EoI document containing the details of qualification criteria, submission details, brief objective & scope of work and evaluation criteria etc. can be downloaded from the ICMR website (<u>https://www.icmr.gov.in</u>).

Schedule for the Proponents is as under:

EoI Document Number	EoI No. ICMR/EoI/04-MDMS/POC-GT/2023
Date of Publication	Date: 24./11/ 2023
Last date of submission	Date: 20/02/ 2024

Note:

Interested applicants may please send their proposals in a sealed envelope to the following address:

MDMS Unit (New Building 2nd Floor) Indian Council of Medical Research, V. Ramalingaswami Bhawan, P.O. Box No. 4911, Ansari Nagar, New Delhi - 110029, India.

The EoI Document No. "ICMR/EoI/04-MDMS/POC-GT/2023" along with the title of the EOI as "EoI for Technology Transfer/ Joint Development" in Bold and complete address as above must be clearly mentioned on the sealed envelope.

Only shortlisted firm(s)/organization(s) will be invited to participate in the Request for Proposal (RFP).

ICMR reserves the right to cancel this EoI and/ or invite afresh with or without amendments, without liability or any obligation for such EoI and without assigning any reason. Information provided at this stage is indicative and ICMR reserves the right to amend/add any further details in the EoI, as may be desired by the Competent Authority ICMR and duly notified on its website.

2. Background

The Indian Council of Medical Research (ICMR), New Delhi, the apex body in India for the formulation, coordination and promotion of biomedical research, is one of the oldest medical research bodies in the world. The ICMR has always attempted to address the growing demands of scientific advances in biomedical research on the one hand and the need of finding practical solutions to the health problems of the country, on the other.

ICMR-National Institute of Immunohaematology (ICMR-NIIH), Mumbai, one of the constituent institutes of the Indian Council of Medical Research (ICMR), New Delhi is a pioneer institute in research on Immunohaematological disorders. NIIH conducts research on haematoloical disorders such as haemoglobinopathies, haemophilia, Von-Willibrand disease (VWD), primary immunodeficiency diseases, transfusion transmitted diseases and other cytogenetic disorders. NIIH scientists have developed and patented many technologies related to haematological diseases like POC for haemophilia, VWD, COVID-19. The Institute also trains health professionals for better laboratory testing and management of haematological diseases. ICMR-National Institute of Immunohaematology (ICMR-NIIH), Mumbai has developed a technology entitled "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder" (hereinafter) referred to as **"Technology".**

ICMR is lawfully entitled to enter into any form of **non-exclusive agreements** with eligible manufacturing companies hereinafter referred to as the **"Company"** through a defined agreement for Licensing/Commercialization of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", hereinafter referred to as the **'Product'**, which shall be governed by ICMR IP Policy, as revised and approved by the Competent Authority.

3. Objective

To license the 'Technology' for "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", effective/useful in medical diagnostics for diagnosis of Glanzmann Thrombasthenia disorder, for commercialization and marketing activities of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder". (Diagnostic assay/ Kit).

4. Scope of Work

- i. ICMR is willing to collaborate with eligible organizations, companies, and manufacturers for undertaking Transfer of technology and commercialization of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder".
- ii. The Company would be granted rights to undertake further development, manufacture, sell, and commercialize the Technology/Product "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", or undertake further R&D and commercialize the end product(s) /technology.

- iii. An Agreement (in case of joint development or licensing) following EoI and RFP is proposed to be executed on a "Non-Exclusive" basis with single/multiple companies to enable wider outreach of the "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", for societal benefit and public health use. All the related issues shall be governed by ICMR IP Policy, as revised and approved by the Competent Authority.
- iv. ICMR-National Institute of Immunohaematology (ICMR-NIIH), Mumbai Institute has expertise in various techniques, methods and information relating to aforesaid technology which could be used for the production of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder".

Role of ICMR:

- i. ICMR-National Institute of Immunohaematology (ICMR-NIIH), Mumbai will provide expert guidance & technical support for the production of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", in all phases. Such technical oversight by ICMR-National Institute of Immunohaematology (ICMR-NIIH), Mumbai would accelerate the development of the Product and its commercialization.
- ii. ICMR would provide technical support through its team of experienced scientists in study planning, product development, development of study protocol, results/data analysis, outcome assessment, safety & efficacy assessment, product improvement, etc., if deemed fit upon the mutual understanding between ICMR and collaborative company.
- iii. ICMR through its Institutes would provide support and facilitation to conduct the R&D/clinical study of new technology/ product in India through its Affiliates/ Institutes, in collaboration with the company/institutions in a professional and mutually agreed-upon manner and timelines, which will be decided later under the Agreement.
- iv. ICMR would provide technical support in development of technology/ product and will also facilitate the validation, if required, as per the terms & conditions of the Agreement.
- v. ICMR shall have no financial implications unless otherwise specified.

Role of Company

- i. The Company shall have valid provisions to provide all necessary infrastructure/ material/ manpower required for product development/ validation/ scale-up either directly or otherwise.
- ii. The Company shall have provisions to undertake the scale-up as required, manufacturing and commercialization of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", in a set milestone.

- iii. The Company agrees to share the technical data with ICMR and participate in all discussions in a professional and mutually agreed-upon manner.
- iv. The Company agrees to allow authorized personnel/scientist/team of ICMR to visit the designated lab/ production facility as and when required, as envisaged under this EoI and subsequent Agreement.
- v. The Company shall be responsible for obtaining all the regulatory approvals required for commercialization or starting from R&D for product development to its commercialization.

5. Intellectual Property Rights

It is submitted that in case of transfer of Technology, ICMR is the sole owner of the said Technology, including any underlying Intellectual Property(ies) and commercialization rights.

Intellectual Property (IP) shall mean patents, rights to inventions, copyright and related rights, moral rights, rights in designs, rights in trademarks, rights to preserve the confidentiality of information (including know-how and trade secrets) and any other intellectual property rights, in each case whether registered or unregistered and including all applications (or rights to apply for and be granted), divisional, continuations, continuations-in-part, reissues, renewals or extensions of, and rights to claim priority from, such rights and all similar or equivalent rights or forms of protection which subsist or will subsist now or in the future in any part of the world regarding subject matter disclosed in Licensed patents.

ICMR legally possess the rights and authority to retain full or part of the 'Technology' by itself or to assign at its discretion full or part of the Technology including any patent(s) or intellectual property rights(s) or the invention(s), and/or ICMR is lawfully entitled to enter into any form of non-exclusive License Agreements with selected companies including transfer of the Technology through suitable Agreement(s).

In case of collaboration between ICMR and the Company for the Joint development of the Technology/ Product, Background Intellectual Property ("BGIP") shall always remain the sole and exclusive property of the Party generating the BGIP. Any IP, if generated during the course of collaboration, including any improvement thereof, shall be jointly owned by ICMR and the Company. All such provisions related to intellectual property rights shall be governed by ICMR IP Policy, as revised and approved by the Competent Authority.

6. Process involved in Technology Transfer

Interested companies/manufacturers are invited to join hands with ICMR for codevelopment & commercialization of the Technology/ Product(s). Under this EoI, the manufacturers/companies who are responsive and fulfilling all the technical need will be shortlisted based on their R&D plan, facilities and capabilities. On shortlisting of technically suitable companies, one Request for Proposal (RFP) will be shared with the shortlisted candidates. At the RFP stages, technically suitable candidates will be required to provide complete technical details along with their financial proposal w.r.t. upfront payment and royalty component, in line with the applicable ICMR Guidelines for Technology Transfer and Revenue Sharing, as amended from time to time. Selection of candidates will be decided on the basis of their offers at the RFP stage. Qualified companies/manufacturers will only contacted for execution of MoA/MoU/Agreement be for partnership/collaboration/technology transfer, etc. Subsequent to the execution of the Agreement such companies/manufacturers shall be responsible to pay the Royalty, subject to approval as provided under ICMR Guidelines for Technology Transfer and Revenue Sharing.

7. Publication

- i. In case of Co-development, the Parties shall have equal rights on the manuscripts/scientific publications (joint publication/acknowledgment /other credits as applicable) and in accordance with guidelines of International Committee of Medical Journal Editors (ICMJE.org).
- ii. Support of ICMR must be duly acknowledged in all publications by the Company.
- iii. ICMR Scientists can be given due to advantage of authorships in the publications arising out of Licensing/co-development.

8. Data Rights

- i. Data rights shall be jointly owned by ICMR and Licensee/Co-developer
- ii. Data rights in cases where Artificial Intelligence is involved shall be dealt separately.
- iii. Licensee/ Company to ensure that data is anonymized, kept confidential and strictly abide by the provisions of Information Technology Act, 2000 while dealing with such data

9. Details of documents to be furnished

Proponents are requested to go through all pre-qualification requirements, scope of work for execution & requirements with respect to technical capabilities for submission of interest, subject for verification by ICMR.

Documents to be furnished are as follows:

- i. Declaration Expression of Interest (Format 1)
- ii. Authorization Letter (Format 2)
- iii. Undertaking with regard to Blacklisting (Format-3)
- iv. Undertaking with regard to Non-Litigation (Format -4)
- v. EoI document with each page duly stamped and signed by the Authorized signatory.
- vi. Undertaking with regard to laboratory facility (Format -5)
- vii. Production Capacity Undertaking (Format-6)

- viii. Supporting documents, as mentioned in Format-1
 - ix. MSME Certificate (if applicable)
 - x. Concept note on business plan- A brief concept note on R&D, clinical studies, planning & execution, production, marketing etc. with timeline (not more than 5 pages)
 - xi. Any other information which proponent may wish to provide to support the EoI.

ICMR reserves the right to call for any clarifications confined in the broad scope, wherever such a clarification become necessary for proper judgement in evaluation.

10. Rejection Criteria

The application is liable to be rejected if:

- i. The proposal is not submitted as per the requirements indicated in the EoI.
- ii. Not in the prescribed format.
- iii. Not properly stamped and signed.
- iv. Received after the expiry of due date and time.
- v. All relevant supporting documents are not furnished with the Pre-Qualification Criteria (PQC).
- vi. The proposal shall be substantially responsive without any material deviation, failing which the proposal shall be summarily rejected.
- vii. Applications not fulfilling the terms of the document will be summarily rejected.
- viii. Any other non-compliance.

11. Evaluation Methodology

Screening of EoIs shall be carried out as per Pre-Qualification criteria mentioned in the EoI document and based on verification of documents submitted. Only shortlisted proponents shall be provided with RFP.

12. Pre-Qualification Criteria (PQC)

The following will be the minimum Pre-Qualification Criteria (PQC). Responses not meeting the minimum PQC will be summarily rejected and will not be evaluated further:

Sl.	Pre-Qualification Criteria (General)	Supporting copy of documents	
No.		required (All documents must be self-	
		attested by the authorized person of the	
		proponent)	
General Criteria			

1	The proponent shall be a legal entity,	Registration of firm/		
	registered as Institution/Company/ LLP/	organization/Company Incorporation		
	Society/ partnership firm/ proprietorship	Certificate from Registrar of		
	firm under respective acts in India.	Companies (ROC) /Partnership deed		
		etc. whichever is applicable		
2	The proponent must be registered in	GST Registration or GST exemption		
	India with taxation and other	certificate/ PAN Card		
	administrative authorities.			
3	The proponent should have proven prior	Research paper/Pamphlet / brochure of		
	experience of manufacturing and/or	the product/DCGI License for existing		
	R&D with manufacturing during the last	product.		
	ten years, either in-house or through	Supporting documents for		
	agreed collaboration and must have	collaboration, if any.		
	marketed same/similar products in the			
	past with a good track record.			
4	The proponent has to be profitable and	Certificate from the Chartered		
	should not have incurred overall loss in	Accountant of the Organization/		
	past three (3) years. (applicable on	Audited Balance sheets for last three		
	commercial firms/organizations only)	financial years or Income Tax return.		
5	The proponent should have good track	Undertaking on the Letter Head of the		
	record and currently not black-listed/	Proponent duly signed & Stamped by		
	barred by any Central / State Government	Authorized Signatory (As per format –		
	/ Public Sector Undertaking, Govt. of	3).		
	India, (applicable on commercial			
	firms/organizations only).			
6	The proponent should have a	Registration copies/ factory license/		
	manufacturing unit in India.	DSIR certificate, if have any.		
7	The proponent should not be involved in	Undertaking on Proponent's Letter		
	any major litigation that may have an	Head, duly signed and stamped by the		
	impact of affecting or compromising the	Authorized Signatory (As per format –		
	conditions required under this EoI and in	5)		
	the Agreement	- ,		
8	GMP/ quality certification (ISO or	Copies of Certificates		
Ŭ	approved Indian certification) of			
	manufacturing facility and GLP/			
	necessary certifications for R & D			
Specifi	Specific Criteria (Based on the nature of the Proposal)			
9.	The proponent should have functional	Undertaking on Proponent's Letter		
	laboratory to carryout R&D for the	Head, duly signed and stamped by the		
	product development	Authorized Signatory (As per format –		
		5)		
10.	Capacity to produce at	Undertaking (As per format – 6)		
	least(quantity) per week	6 (- F		
	(quality) per treek			

NOTE- For MSMEs and Start-ups, Start-Up-India, Make-in-India and other relevant guidelines of Government of India shall be applicable

13. Disclaimer

- i. ICMR shall not be responsible for any late receipt of applications for any reasons whatsoever.
- ii. ICMR reserves the right to cancel the call for EoI without assigning any reasons thereof.
- iii. ICMR may relax or waive any of the conditions stipulated in this document as deemed necessary in the best interest of the ICMR without assigning any reasons thereof.
- iv. To include any other item in the Scope of work at any time after consultation with proponents or otherwise.
- v. For International Clients, please note that EoI and other necessary correspondences shall be submitted in English only.

14. Arbitration

That any dispute and/ or any part of the dispute which couldn't be resolved through mutual consultation, the same shall be referred to the sole arbitrator as per the Arbitration & Conciliation Act, 1996 and any amendment thereafter. The Venue and Seat of the arbitration proceedings shall be New Delhi and the courts at New Delhi will have exclusive jurisdiction.

15. Contacts

In case of any clarification required, please contact:

For scientific issues-

Dr. Shrimati Shetty, Ex-Deputy Director, Scientist F, ICMR NIIH Email: shrimatishetty@gmail.com Dr Manisha Madkaikar, Director, ICMR NIIH) Phone: 022-24138518/19...Email: <u>madkaikarmanisha@gmail.com</u> <u>Dr Tejasvita Gaikwad, Email: gtejasvita@gmail.com</u>

Expression of Interest

(To be submitted on Company's Letter Head)

To,

The Director General,

Indian Council of Medical Research, Ansari Nagar, New Delhi.

Subject: Submission of Expression of Interest (EoI) for Transfer of Technology "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder" for commercialization of Diagnostic assay/ Kit in medical diagnostics for diagnosis of Glanzmann Thrombasthenia disease.

Sir,

The undersigned having read and examined in detail all the EoI documents pertaining to your transfer of technology, and do hereby express the interest to undertake the research & development/manufacture/ sale /commercialization of the product as mentioned in the EoI document. The details of the Company and contact person are given below:

Name of the Proponent	
Address	
Name, designation & address of the person (to	
whom all communications shall be made)	
Telephone No. (with STD code)	
Mobile No. of the contact person	
Email ID of the contact person	

The following documents are enclosed:

Sl. No.	Documents required			Type of document attached	Page No.
1	Company Incorporation ROC/Partnership deed etc.	Certificate	from		

2	GST Registration or GST exemption certificate/	
	PAN Card.	
3	DCGI/CDSCO license for the existing products	
	available in the market	
4	Certificate from the Chartered Accountant of the	
	Organization/ Audited Balance sheets for las	
	three financial years, Income Tax return.	
5	Proof of a registered office and a manufacturing	
	Unit in India. Including DSIR certificate	
6	GMP / GLC and ISO Certification. Registration	
	copies of both	
7	Authorization Letter	As per format – 2
8	Undertaking on the Letter Head of the Proponent	As per format – 3
	duly signed & Stamped by Authorized Signatory	
9	Undertaking on Proponent's Letter Head, duly	As per format – 4
	signed and stamped by the Authorized Signatory	
10	MSME Certificate (if have any)	
11	Business Plan	A brief concept note
		on planning &
		execution,
		production,
		marketing etc. (not
		more than 5 pages)

I/we hereby declare that my/our EoI is made in good faith and the information contained is true and correct to the best of my/our knowledge and belief.

Thanking you,

Yours faithfully,

Authorization Letter

(To be submitted on Company's Letter Head)

To,

The Director General, Indian Council of Medical Research, Ansari Nagar, New Delhi.

Subject: Letter for Authorized Signatory Ref: EoI No. ICMR/EoI/....../202X dated

Sir,

This has reference to your above-mentioned Expression of Interest (EoI) for Transfer of Technology "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder", useful in medical diagnostics for diagnosis of Glanzmann Thrombasthenia disorder.

Mr./Ms./Mrs./Dr.....is hereby authorized to submit the EoI documents and participate in the processing on behalf of M/s..... (Company Name), who's signature is below.

(Specimen Signature of Representative)

Date: Place:

Yours faithfully,

(Signature of the Authorized signatory) Name:..... Designation:.... Seal:....

Undertaking with regard to blacklisting

(To be submitted on Company's Letter Head)

To,

The Director General, Indian Council of Medical Research, Ansari Nagar, New Delhi.

Sir,

It is hereby confirmed and declared that M/s..... (Company Name) currently has not been blacklisted / debarred by any Government Department / Public Sector Undertaking / or any other company for which works/assignments/services have been executed / undertaken.

Yours faithfully,

Undertaking with regard to Non-Litigation

(To be submitted on Company's Letter Head)

To,

The Director General, Indian Council of Medical Research, Ansari Nagar, New Delhi.

Subject: Undertaking regarding Litigation. **Ref:** ICMR/EoI/....../202X dated

Sir,

It is hereby confirmed and declared that M/s.....(Company Name) and owner of the firm / board of directors, do not have any litigation / arbitration pending/under trial in court.

Yours faithfully,

Undertaking with regard to laboratory facility

(To be submitted on Company's Letter Head)

To,

The Director General,

Indian Council of Medical Research, Ansari Nagar, New Delhi.

Subject: Undertaking regarding laboratory infrastructure. **Ref:** ICMR/EoI/...../202X dated......

Sir,

It is hereby confirmed and declared that M/s..... (Company Name) do have i. Adequate laboratory infrastructure (equipped laboratory facility). Please tick BSL-2/ BSL-3/ ABSL-3/ GMP/ GLP/ Other* (if other please specify) and

ii. Adequate no. of experienced staff/skilled manpower to undertake manufacture/ research/ commercialization of "A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder".

Yours faithfully,

Undertaking with regard to production capacity

(To be submitted on Company's Letter Head)

To,

The Director General,

Indian Council of Medical Research, Ansari Nagar, New Delhi.

Subject: Undertaking with regard to production capacity. **Ref:** ICMR/EoI/.........../202X dated

Sir,

It is hereby confirmed and declared that M/s..... does have the capacity in all mean (including infrastructure, fund, material, staff etc.) for manufacturing of a lateral flow immunodiagnostic assay, minimum 10,000 kits per month.

Yours faithfully,

(Signature of the Authorized signatory) Name: Designation: Seal:

Place:

SCHEDULE-A TECHNOLOGY DETAILS

A Point of Care test for the diagnosis of Glanzmann Thrombasthenia disorder

i. About the Technology/Product/Process:

The proposed product/kit can detect quantitative deficiencies of the platelet receptor i.e., GPIIb and GPIIIa, which is associated with *Glanzmann thrombasthenia* disorder using platelet rich plasma. This kit is a point-of-care lateral flow assay with 100% specificity, 97.78 % sensitivity and 100% accuracy.

ii. Need and utility of the Technology from Public health perspective:

Existing method for diagnosis of Glanzmann thrombasthenia disorder involves: primary coagulation tests, platelet aggregation using ristocetin, ADP, collagen, arachidonic acid. And further confirmation by flow cytometry analysis using antibodies specific to GPIIb and GPIIIa receptors.

A very few laboratories in India have aforementioned diagnostic facilities and many of the Platelet function defects remain undiagnosed due to lack of technical expertise and diagnostic facilities.

For the first time, a point of care test has been successfully developed for the diagnosis of the commonest platelet function defect i.e. Glanzmann thrombasthenia. The developed point of care-lateral flow immunoassay can be used widely across the laboratories including primary health care settings without the need of any specialized machines.

The described lateral flow immunoassay holds an advantage for pediatric patients because of smaller quantity of sample required for identification. The described *in vitro* lateral flow immunoassay can separately detect presence or absence of GPIIb and GPIIIa receptors using platelet rich plasma, which can give help one to identify affected gene for further genetic identification for prenatal studies.

iii. Technology Readiness level (TRL)

The technology for "A Point of Care test for the diagnosis of Glanzmann thrombasthenia disorder" has been developed and standardized at ICMR-NIIH, Mumbai. The technology has been validated on large number of clinical samples from

hospitals in comparison to the standard commercial assays. The technology is ready to transfer for commercialization.

iv. Validation Status and outcome:

The assay has been independently validated by below mentioned two external hematology laboratories.

 All India Institute of Medical Sciences, Department of hematology, Ansari Nagar, New Delhi – 110029.

Validation report of ICMR Glanzmann Thrombasthenia Qualitative diagnostic Kit: Sensitivity: 88.9% Specificity: 100% Accuracy:90.9%.

 Christian Medical College, Department of transfusion medicine and Immunohaematology, Vellore, Tamil Nadu 632001.

Studied classical as well as variant Glanzmann Thrombasthenia cases and reported overall satisfactory results of ICMR Glanzmann Thrombasthenia Qualitative diagnostic Kit in comparison with gold standard i.e. flow cytometry technique.

v. IP Filing Status/Publications

The patent application has been filed for this invention - IN202211003648. Trademark has been filed for the product.
