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INDIAN COUNCIL OF
MEDICAL RESEARCH
Developing Human Resources

भारतीय आयुर्विज्ञान अनुसंधान परिषद
INDIAN COUNCIL OF MEDICAL RESEARCH

स्वास्थ्य अनुसंधान विभाग (स्वास्थ्य एवं परिवार कल्याण मंत्रालय)

वी रामलिंगस्वामी भवन, अन्सारी नगर, नई दिल्ली - 110029

DEPARTMENT OF HEALTH RESEARCH (MINISTRY OF HEALTH & FAMILY WELFARE)
V. RAMALINGASWAMI BHAWAN, ANSARI NAGAR, NEW DELHI-110029

No.16/82/2023/Admn.

Dated: 18/10/2023

To

The Directors/Directors-in-Charge,
of ICMR Institutes/Centres.

Sub.- Revised format for Appraisal and Approval of new Public Funded
Scheme – reg.

Sir/Madam,

Please find enclosed herewith a copy of an Office Memorandum No.01(01)/PFC-1/2022 dated 3rd October, 2023, received from Ministry of Finance, Department of Expenditure, North Block, New Delhi, on the subject mentioned above for your information and necessary action.

Digitally Signed by Jagdish
Rajesh

Yours faithfully,

Date: 24-10-2023 16:17:47

Reason: Approved

(Jagdish Rajesh)

Assistant Director General (Admn)

Encl.: As above

Copy to :-

- (1) PS to DG/Sr. DDG(A)/Sr. FA, ICMR HQ.
- (2) All Divisional Head, ICMR HQ.
- (3) DDG(A)/ADG(A), ICMR HQ.
- (4) Dr. Harpreet Singh, Head BMI and Scientist E – for uploading on ICMR website

No.01(01)/PFC-I/2022
Government of India
Ministry of Finance
Department of Expenditure

North Block, New Delhi
3rd October, 2023

OFFICE MEMORANDUM

Subject: - Revised format for Appraisal and Approval of new Public Funded Schemes-reg.

Kind reference is invited to DoE's OM No.42(02)/PF-II/2014 dated 09.08.2021 vide which revised format for appraisal and approval of new Public Funded Schemes was issued by this Department (Copy enclosed).

2. The undersigned is directed to state that Para 3.3 of the Annexure-A of DoE's ibid OM hereby stands modified as under: -

"Para 3.3: In case of beneficiary-oriented schemes, indicate whether the scheme is a Direct Benefit Transfer (DBT) scheme inter-alia indicating the mechanism for identification of target beneficiaries through Aadhaar authentication (and not merely Aadhaar seeding), provision for online application and fund disbursement mechanism (Disbursement of funds should be done through Aadhaar Payment Bridge System (APBS) using DBT scheme code)."

3. Revised format for appraisal and approval of new public funded schemes after incorporating the modification is hereby **enclosed**.

4. Following points, with regards to the appraisal and approval of new public funded schemes, are reiterated: -

(i) The EFC/SFC proposals for new public funded schemes should be sent in the enclosed revised format (Annexure-A) including the summary sheet, along with detailed paper if required and other enclosures as prescribed in the checklist of the format.

(ii) 'In-principle' approval of the Department of Expenditure for initiating any new scheme/sub-scheme should be taken in terms of Para 5 of DoE's OM No.24(35)/PF-II/2012 dated 05.08.2016 and OM No.01(01)/PFC-II/2022 dated 21.10.2022.

Mr. Anil Kumar
738330

Jyaiswal
03/10/2023

12 OCT 2023

(iii) For assistance in formulating logical framework based output/outcome tables for the new schemes, NITI Aayog may be consulted by Ministries/ Departments.

5. This will apply to all EFC/SFC proposals for new schemes submitted on or after 15.11.2023.

6. This issues with the approval of Finance Secretary & Secretary (Expenditure).

Encl: - As above.

H. Jaiswal
03/10/202

(Hema Jaiswal)

Deputy Director General (PFC-II)

To,

1. All Secretaries to the Government of India
2. All Financial Advisers to Ministries/Departments
3. Cabinet Secretariat
4. Prime Minister's Office
5. NITI Aayog
6. Railway Board
7. Internal Circulation

FORMAT FOR EFC/SFC MEMORANDUM FOR APPRAISAL OF NEW SCHEMESSummary Sheet

S.No.	Outline	Details
1.	Name of the Scheme	
2.	Nature of the scheme (CSS/CS/Scheme under umbrella)	
3.	Duration	
4.	Proposed Outlay	
5.	Funding Pattern	
6.	Source of Funding	
7.	Objectives	
8.	Target Beneficiaries	
9.	Major Interventions/Components	
10.	Name of schemes with which convergence is sought along with Ministries/Departments	
11.	Manpower Requirement	
12.	Measurable outputs/outcomes targeted at the end of scheme duration	

FORMAT FOR EFC/SFC MEMORANDUM FOR APPRAISAL OF NEW SCHEMES

Document's Checklist

S.No.	Document	Attached (Yes/No/NA)	Annexure Number	Remarks (if any)
1.	Concept Paper			
2.	Evaluation Report			
3.	Feasibility/Pilot Study Report			
4.	Gap/Need Analysis Report			
5.	Stakeholder Consultation Report			
6.	Approval and Clearness (Such as Land Acquisition, Environmental Clearance etc.)*			
6.1	..			
6.2	..			
7.	Response of Comments			
	*to be enlisted as 6.1, 6.2 and so on by Ministries/Departments as applicable at approval stage			

1. General

- 1.1 Title of the Scheme
- 1.2 Sponsoring Agency (Ministry/Department/Autonomous Body or Undertaking)
- 1.3 Proposed duration of the Scheme
- 1.4 Nature of the Scheme: Central Sector Scheme/ Centrally Sponsored Scheme
(Please specify if it is under an umbrella)
- 1.5 Total estimated financial outlay of the proposed Scheme
- 1.6 Funding Pattern
- 1.7 Provide details of schemes under it along with proposed outlays. Details of sub-schemes (if any) under the scheme along with proposed outlays.

Details of the scheme (year-wise)

Umbrella Scheme	Scheme	Sub-Scheme	FY	Proposed Outlay (INR Crores)
(Column may be deleted if not applicable)		(Column may be deleted if not applicable)		

1.8 A) Is the scheme created by restructuring/ merger/ renaming of previously existing scheme(s)/sub-schemes? *if yes, please mention the name of the scheme(s) proposed to be merged/dropped/ rationalized?*

B) Please mention whether the old scheme(s) was evaluated? If yes, please attach Evaluation report.

C) What were the main findings of the evaluation and how are they incorporated in the scheme design (please use the tale given below)?

Total no. of recommendation listed in evaluation report				
S.No.	Recommendation of the evaluation report	Has an action been taken on this recommendation? (Yes/ No)	If yes, please elaborate the action or revision in scheme proposal undertaken.	If no, please explain the reasoning for exclusion of this recommendation.

1.9 Details of In-principle approval-

1.10 A) Concept Paper details including findings of Gap/Need analysis and Feasibility/ Pilot Study along with a summary justification for the new scheme.

B) Details of stakeholder consultations undertaken. Please include details of state governments consultation, particularly in CSS scheme.

1.11 Overlap with any existing scheme/sub-schemes in this Ministry/Department/any other Ministry/Department? If yes, please fill below table highlighting the aspects in which there is an overlap and how will the scheme use this convergence to save public resources?

Name of Ministry/ Departments	Scheme/Sub-Scheme Name (with which there is convergence)	Is there any convergence at the following stages? (And details thereof)				How will the scheme use this convergence?	Other remarks
		Design Stage	Implementation Stage	M & E stage	Other		
		For instance, in objectives, outcomes, outputs, activities or target groups of the two schemes)	For instance, in implementing agencies, human resources at any level, technologies, financial resources, PMUs, other	For instance, in monitoring bodies, monitoring mechanisms (MIS, data collection), Joint evaluative others			

- 1.12 Whether the proposal is secured against natural / man-made disasters like floods, cyclones, earthquakes, tsunamis, etc. If the proposal involves creation /modification of structural and engineering assets or change in land use plans, disaster management concern should be assessed, and specifically commented upon.

2. Outcomes and Deliverables

2.1 Objectives of the Scheme

2.2 Indicate year-wise targets set for outputs and outcomes of the scheme *along* with the activities to be undertaken and inputs to be used in the form of measurable indicators in the below mentioned table. Data sources for each indicator must be clearly mentioned along with key assumptions and risks involved (if any) along with their severity as perceived by the proposer. Baseline data (Year and Value) should also be benchmarked and mentioned for all indicators.